

Mr Stephen Glynn - Senior Director and Head of Enforcement



Stephen Glynn Senior Director and Head of Enforcement, joined the DFSA in January 2005 and has extensive experience in the regulation of financial and capital markets, financial services and products and listed and unlisted corporate entities. His portfolio of responsibilities includes enforcing obligations that apply to regulated entities in the Dubai International Financial Centre (DIFC), members of NASDAQ Dubai, the Dubai Mercantile Exchange and Reporting Entities that list their products and make offers of securities in the DIFC.

Mr Glynn was formerly employed in various senior positions with the Australian Securities and Investments Commission carrying out responsibilities in the Markets and Investments, Managed Investments, Financial Services Regulation, Corporate Investigations and Financial Analysis Divisions.

He is a forensic financial analyst and a former member of the Investigations Committee of the National Council of Certified Practising Accountants Australia.

Before joining the DFSA, Mr Glynn was the Managing Director of Financial Services Compliance, a consultancy providing compliance and risk management services to the Australian financial services industry.

Mr Glynn has both Bachelors and Master degrees from Australian universities.