**Financial Markets Tribunal**

**Reference Notice**

**In the Matter of the Regulatory Law, DIFC Law No. 1 of 2004, as amended**

**and**

**In the Matter of**       (name of the Applicant i.e., the person referring the decision)

**TAKE NOTICE** that       (Applicant’s name)hereby refers the decision of       (name of the Chief Executive, or person who made the decision on behalf of the DFSA Executive or Chief Executive) made on       (date of decision).

**1. Brief details of decision being challenged the facts:**

*(FMT Rule 25(a) - Summarise the relevant facts and details regarding the decision that is being challenged.)*

**2. The legislative provision/s under which the reference is brought:**

*(FMT Rule 25(b) - Article 29(1) of the Regulatory Law provides that the FMT has jurisdiction to hear and determine any reference where a provision of legislation administered by the DFSA or a provision in or made under a DIFC Law provides that a matter may be referred to the FMT for review. Article 29(2) provides that a person may commence a reference to the FMT only in circumstances where the FMT has jurisdiction to hear and determine the reference under Article 29. The specific legislative provision/s relevant to your reference can be found in your decision notice.)*

**3. The relief sought, including whether you are seeking a stay:**

*State what you want the FMT to do (see Articles 29 and 30 of the Regulatory Law).*

If you want the FMT to **stay** the decision referred (stop the decision from going into effect), ask for a stay order and describe the grounds and urgency for the stay.

**4. The grounds for contesting the decision and urgency (if any) for the relief sought:**

*(FMT Rule 25(d) - State here the reasons why you think the decision is wrong or should be different, and whether there is any urgency.)*

**5. Filing fee:**

Section 4.2 of the Fees Module of the DFSA Rulebook (FER) requires a **filing fee of USD5,000** to be paid to the DFSA before a reference to the FMT is considered filed.

State whether you have paid the required filing fee: Yes       No

If you are an individual and want the FMT to **waive the filing fee**, you may ask for a waiver, in which case describe the grounds for asking for the waiver.

**6. Contact details**

State the name and address of the Applicant.

State the name and address of the Applicant’s legal representative.

State the Applicant’s physical address for service. (This is where you have agreed that documents can be sent to you.)

If the Applicant is willing to accept service electronically, state the Applicant’s e-mail address of service.

**7. Signature**

Signed by       (type name of Applicant or Applicant’s duly authorised officer or legal representative)

On       (date)

Signature:

**Further instructions**

**Please see FMT Rules 24 to 26.**

**You must a**ttach to your Reference Notice a copy of the written decision you want to refer and all material referred to in the written decision.

You must pay the filing fee of USD5,000 in accordance with FER Rule 4.2.1, unless you are an individual and have been granted a waiver of the filing fee in accordance with FER Rule 4.2.2. Please contact the DFSA at [litigation@dfsa.ae](mailto:litigation@dfsa.ae) for further details regarding payment of the filing fee.

**You must file your Reference Notice with the Registrar of the FMT:**

**Personal or postal service:**

Registrar

Financial Markets Tribunal

Dubai Financial Services Authority

Level 13, The Gate, DIFC

PO Box 75850

Dubai, UAE

**E-mail**: [fmtregistrar@dfsa.ae](mailto:fmtregistrar@dfsa.ae)

**Telephone:** +971 (0)4 362 1500

You must also send a copy of your Reference Notice to the DFSA:

**Personal or postal service:**

Legal Division

DFSA

Level 13, West Wing

The Gate, DIFC

Dubai, UAE

**Email:** litigation@dfsa.ae